

# Introductory Training for First Steps Providers



## Basic Overview of First Steps Track III



# Form 5: Provider Agreement

In both Track I and II we have referred to the Provider Agreement. Having an approved Provider Agreement is essential. If you, as an independent provider, or your agency do not receive an approved provider agreement, signed by the CCSHCN's Executive Director, any services you provide will not be reimbursed.

This Track is intended to help you accurately complete each form. Submitting incomplete forms will delay processing and prevent you from initiating services.



# Form 5: Provider Agreement, pg. 1

Rev. 3/04.

**Leave blank**

Provider Number: FS-\_\_\_\_\_

**COMMONWEALTH OF KENTUCKY**  
**Cabinet for Health and Family Services**  
**DIVISION ADULT & CHILD HEALTH, DEPARTMENT FOR PUBLIC HEALTH**  
**FIRST STEPS**

**Leave blank**

## **PROVIDER AGREEMENT**

THIS PROVIDER AGREEMENT, made and entered into as of the \_\_\_\_\_ day of \_\_\_\_\_  
200 \_\_\_\_ by and between the Commonwealth of Kentucky, Division Adult & Child Health, Department for  
Public Health, Kentucky Early Intervention, 275 East Main, Frankfort, Kentucky 40621, hereinafter referred to as  
ACH and

\_\_\_\_\_  
(Name of Provider)

\_\_\_\_\_  
(Address, City, State, Zip of Provider)

hereinafter referred to as the Provider.

**Fill in your Name or the Name of your Business & Address**



# Form 5: Provider Agreement, pg. 3

Read the full text of the Provider Agreement pages 1 and 2 sign page 3 as shown below:

PROVIDER

**Sign Here**

BY: \_\_\_\_\_

Authorized Official

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

**PRINT Name, Title & the Date**

**If contract is with an agency, this should be signed by the individual with the authority to sign a legal document**

DEPARTMENT FOR PUBLIC HEALTH

ADULT AND CHILD SERVICES

BY: \_\_\_\_\_

Authorized Official

NAME: Steve Davis, M.D.

TITLE: Director

DATE: \_\_\_\_\_

**Leave blank**



# Form 5: Provider Agreement, pg. 3

Read carefully the full text of the Provider Agreement page 3 regarding Violation of Tax & Employment Laws.

\_\_\_\_\_ The contractor **has** violated the provisions of c  
five (5) year period and has revealed such final deter  
determination(s) is attached.

\_\_\_\_\_ The contractor **has not** violated any of the pro  
year period.

FIRST PARTY:

DEPARTMENT OF PUBLIC HEALTH

ADULT AND CHILD HEALTH DIVISION

Name of Agency

**Print Here**

SECOND PARTY:

\_\_\_\_\_  
Name

BY: \_\_\_\_\_

Signature

\_\_\_\_\_  
Date

**Check the appropriate statement. If the first statement is checked, attach the statement of findings to the agreement.**

**Sign Here**



# Form 5: Provider Agreement, pg. 3

The contact person you designate will be responsible for maintaining communication with the First Steps staff.

**Print Information Here**

Contact Person responsible for disseminating all information from communication packet to all involved in Early Intervention Services.

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

PHONE #: \_\_\_\_\_

E:MAIL ADDRESS: \_\_\_\_\_

All service providers required to have a state license must provide the Commission with a current copy.

**Submit copy(s) of license(s) with this agreement**



# Form 6: CBIS Provider Enrollment



**The Provider Enrollment form provides for a standardized method to:**

- Collect demographic information about your business entity
- Identify employees who will provide services to KEIS recipients
- Report changes to any demographic information

**Before completing this form, give careful consideration to your business structure.**

- What will you name your business?
- Will you operate using your SS#?
- Where is your business located?



# Form 6: CBIS Provider Enrollment

**13 service providers can be listed on this page. If more than 13, fill in appropriate number of pages**

**As a new provider, mark this box**

Page 1 of \_\_\_\_

FORM 6

Revised 7-04

<b>FIRST STEPS CBIS PROVIDER ENROLLMENT FORM</b>				PROVIDER ID # _____		<b>FS OFFICE USE ONLY</b> Program Consultant(s): _____ DATE: _____	
<input type="checkbox"/> New	<input type="checkbox"/> Contract Renewal						
<input type="checkbox"/> Addendum *Indicate (A) Add, (LA) Leaving Agency or (DFS) Discontinuing First Steps S				<b>Leave blank</b>			
<b>SECTION 1: BILLING INFORMATION</b>							
1. Business Name				2. Federal Tax ID/Soc. Sec. #			
3. Street Address Line 1							
4. Street Address Line 2							
5. City	6. State	7. Zip		8. First Steps Contract Administrator: Name: _____ Email: _____			
9. Telephone		10. Fax		11. Billing Contact Person (if different from Administrator) Name: _____ Email: _____			
12. Tax Status: (Circle One): A. Individual B. Sole Proprietorship C. Partnership D. Estate/Trust E. Corporation F. Public Service Corporation (PSC) G. Government/Non-Profit				13. District(s) Served:			





# Form 6: CBIS Provider Enrollment

**List your legal business name & address**

Page 1 of 1

FORM 6

Revised 7-04

FIRST STEPS CBIS PROVIDER ENROLLMENT FORM			PROVIDER ID # _____	<b>FS OFFICE USE ONLY</b>	
<input checked="checked" type="checkbox"/> New	<input type="checkbox"/> Contract Renewal				Program Consultant(s): _____
<input type="checkbox"/> Addendum *Indicate (A) Add, (LA) Leaving Agency or (DFS) Discontinuing First Steps Services				DATE: _____	
<b>SECTION 1: BILLING INFORMATION</b>					
1. Business Name			2. Federal Tax ID/Soc. Sec. #		
3. Street Address Line 1					
4. Street Address Line 2					
5. City	6. State	7. Zip		8. First Steps Contract Administrator: Name: _____ Email: _____	
9. Telephone		10. Fax		11. Billing Contact Person (if different from Administrator) Name: _____ Email: _____	
12. Tax Status: (Circle One): A. Individual B. Sole Proprietorship C. Partnership D. Estate/Trust E. Corporation F. Public Service Corporation (PSC) G. Government/Non-Profit				13. District(s) Served:	



# Form 6: CBIS Provider Enrollment

**List your Federal Tax ID or  
Social Security number**

Page 1 of 1

FORM 6 Revised 7-04

<b>FIRST STEPS CBIS PROVIDER ENROLLMENT FORM</b>				PROVIDER ID # _____		<b>FS OFFICE USE ONLY</b> Program Consultant(s): _____ DATE: _____	
<input checked="" type="checkbox"/> New		<input type="checkbox"/> Contract Renewal					
<input type="checkbox"/> Addendum *Indicate (A) Add, (LA) Leaving Agency or (DFS) Discontinuing First Steps Services							
<b>Indicate your tax status</b>				<b>SECTION 1: BILLING INFORMATION</b>			
1. Business Name ABC Therapy				2. Federal Tax ID/Soc. Sec. # ↓			
3. Street Address Line 1 123 Main Street							
4. Street Address Line 2 Suite 101							
5. City Somerset		6. State KY		7. Zip 42500		8. First Steps Contract Administrator: Name: _____ Email: _____	
9. Telephone				10. Fax		11. Billing Contact Person (if different from Administrator) Name: _____ Email: _____	
12. Tax Status: (Circle One): A. Individual B. Sole Proprietorship C. Partnership D. Estate/Trust E. Corporation F. Public Service Corporation (PSC) G. Government/Non-Profit ↓						13. District(s) Served:	



# Form 6: CBIS Provider Enrollment

Indicate the district(s) where you practice.

Page 1 of 1

FORM 6

Revised 7-04

<b>FIRST STEPS CBIS PROVIDER ENROLLMENT FORM</b>				<b>PROVIDER ID #</b> _____		<b>FS OFFICE USE ONLY</b> Program Consultant(s): _____ DATE: _____	
<input checked="" type="checkbox"/> New		<input type="checkbox"/> Contract Renewal					
<input type="checkbox"/> Addendum *Indicate (A) Add, (LA) Leaving Agency or (DFS) Discontinuing First Steps Services							
<b>SECTION 1: BILLING INFORMATION</b>							
1. Business Name <u>ABC Therapy</u>				2. Federal Tax ID/Soc. Sec. # <u>61-999999</u>			
3. Street Address Line 1 <u>123 Main Street</u>							
4. Street Address Line 2 <u>Suite 101</u>							
5. City <u>Somerset</u>		6. State <u>KY</u>		7. Zip <u>42500</u>		8. First Steps Contract Administrator: Name: _____ Email: _____	
9. Telephone _____				10. Fax _____		11. Billing Contact Person (if different from Administrator) Name: _____ Email: _____	
12. Tax Status: (Circle One): A. Individual   B. Sole Proprietorship <u>C. Partnership</u> D. Estate/Trust E. Corporation   F. Public Service Corporation (PSC)   G. Government/Non-Profit						13. District(s) Served: _____	



# Form 6: CBIS Provider Enrollment

**List the name, phone #, fax # and email address of the designated contact person. This person will be responsible for informing Provider Relations about changes in the provider's information**

Page 1 of 1

FORM 6

Revised 7-04

FIRST STEPS CBIS PROVIDER ENROLLMENT FORM				PROVIDER ID # _____		FS OFFICE USE ONLY Program Consultant(s): _____ DATE: _____	
<input checked="" type="checkbox"/> New		<input type="checkbox"/> Contract Renewal					
<input type="checkbox"/> Addendum *Indicate (A) Add, (LA) Leaving Agency or (DFS) Discontinuing First Steps Services							
SECTION 1: BILLING INFORMATION							
1. Business Name ABC Therapy				2. Federal Tax ID/Soc. Sec. # 61-999999			
3. Street Address Line 1 123 Main Street							
4. Street Address Line 2 Suite 101							
5. City Somerset		6. State KY		7. Zip 42500		8. First Steps Contract Administrator: Name: _____ Email: _____	
9. Telephone		10. Fax		11. Billing Contact Person (if different from Administrator) Name: _____ Email: _____			
12. Tax Status: (Circle One): A. Individual B. Sole Proprietorship <input checked="" type="radio"/> C. Partnership D. Estate/Trust E. Corporation F. Public Service Corporation (PSC) G. Government/Non-Profit				13. District(s) Served: Lake Cumberland			



# Form 6: CBIS Provider Enrollment

Page 1 of 1

FORM 6

Revised 7-04

FIRST STEPS CBIS PROVIDER ENROLLMENT FORM			PROVIDER ID # _____	FS OFFICE USE ONLY Program Consultant(s): _____ DATE: _____	
<input checked="" type="checkbox"/> New	<input type="checkbox"/> Contract Renewal				
<input type="checkbox"/> Addendum *Indicate (A) Add, (LA) Leaving Agency or (DFS) Discontinuing First Steps Services					
SECTION 1: BILLING INFORMATION					
1. Business Name ABC Therapy			2. Federal Tax ID/Soc. Sec. # 61-999999		
3. Street Address Line 1 123 Main Street					
4. Street Address Line 2 Suite 101					
5. City Somerset	6. State KY	7. Zip 42500	8. First Steps Contract Administrator: Name: _____ Email: _____		
9. Telephone		10. Fax	11. Billing Contact Person (if different from Administrator) Name: _____ Email: _____		
12. Tax Status: (Circle One): A. Individual B. Sole Proprietorship <input checked="" type="radio"/> C. Partnership D. Estate/Trust E. Corporation F. Public Service Corporation (PSC) G. Government/Non-Profit			13. District(s) Served: Lake Cumberland		



# Form 6: CBIS Provider Enrollment

**If you have other additional funding sources to provide KEIS services, please list the source and amount. This will not affect reimbursement for services provided through First Steps.**

SECTION 2: SOURCES OF ALTERNATE FUNDING	
SOURCE	AMOUNT

Please indicate any additional sources you currently have to provide services to KEIS eligible children. NOTE: This information will not be used in any way to deny payment of KEIS eligible services. This information is simply to provide KEIS with an understanding of how much funding is adequate to meet the early intervention needs of children in Kentucky.



# Form 6: CBIS Provider Enrollment

**As a New Provider,  
Leave blank**

**Enter the name of each person who will provide First Steps  
services under this agreement Do not use nicknames.**

## SECTION 3: SERVICE PROVIDER(S) AND DISCIPLINE(S)

Enter "SE" Beside Name to Identify Active or Retired State Employee						FS OFFICE USE ONLY
*A/LA DFS	SERVICE PROVIDERS	SOCIAL SECURITY #	DISCIPLINE CODE(S)	LICENSE NUMBER	COUNTY(IES) TO BE SERVED	TRAINING



# Form 6: CBIS Provider Enrollment

**Enter the Social Security #, Discipline Code(s) and License # for each person who will provide First Steps services under this agreement.**

## SECTION 3: SERVICE PROVIDER(S) AND DISCIPLINE(S)

Enter "SE" Beside Name to Identify Active or Retired State Employee						FS OFFICE USE ONLY
*A/LA DFS	SERVICE PROVIDERS	SOCIAL SECURITY #	DISCIPLINE CODE(S)	LICENSE NUMBER	COUNTY(IES) TO BE SERVED	TRAINING
	Sue Smith					
	John Stevens					
	Jane Doe					





# Form 6: CBIS Provider Enrollment

List County(ies) to be served

Leave blank

## SECTION 3: SERVICE PROVIDER(S) AND DISCIPLINE(S)

Enter "SE" Beside Name to Identify Active or Retired State Employee						FS OFFICE USE ONLY
*A/LA DFS	SERVICE PROVIDERS	SOCIAL SECURITY #	DISCIPLINE CODE(S)	LICENSE NUMBER	COUNTY(IES) TO BE SERVED	TRAINING
	Sue Smith	111-11-1111	11	####		
	John Stevens	222-22-2222	11	####		
	Jane Doe	333-33-3333	12	####		

\* LIST ADDITIONAL STAFF ON 6-ADD



1. *Journal of the American Medical Association*, 2000; 283: 2689-2693.

Date: \_\_\_\_\_

# FIRST STEPS CBIS PROVIDER ENROLLENT FORM

**Provider CBIS ID:** \_\_\_\_\_

[illegible]

# Form 6: CBIS Provider Enrollment

Provider Authorized signature:

I certify, under penalty of law, that the information given in this Enrollment form is correct and completed to the best of my knowledge. I am aware that, should investigation at any time show any falsification, a consideration for suspension from the First Steps Program and/or prosecution for fraud may occur. I hereby authorize the Cabinet to make all necessary verifications concerning the information provided, and authorize licensing boards or other organizations to provide all information that may be sought in connection with the application to participate in the First Steps Program.

Signature: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

**The person who signs Form 6  
should be the same person who  
signed Form 5 as the authorized  
official.**

**Print name & title.**



# Form 8: Electronic Media Addendum



This form outlines the responsibilities of a contracting agency who may submit claims via electronic media, e.g., fax or email.

Even though you may not plan to routinely submit claims electronically, having this form on file will allow you to do so without experiencing delays in processing.



# Form 8: Electronic Media Addendum

This required form enables electronic bill submission to CBIS.

Form 8-FY2002  
Rev. 8/01

**Leave blank**

Cabinet for Health and Family Services

First Steps Provider Agreement Electronic Media Addendum

This addendum to the Provider Agreement is made and entered into as of the

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between the

Commonwealth of Kentucky, Cabinet for Health and Family Services, hereinafter referred to as the

Cabinet, and \_\_\_\_\_

hereinafter referred to as the Provider

**Enter Name & Address of Provider**



# Form 8: Electronic Media Addendum

Read the form carefully before signing. **An original signature is required - do not FAX or email Form 8.**

PROVIDER

BY: \_\_\_\_\_

Signature of Provider

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Telephone No.: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Contact Name: \_\_\_\_\_

**Sign Here**

**Print Your Title &  
the Date**

**Print the Telephone Number, E-mail  
Address & Name of Contact Person  
listed on Provider Agreement**

Cabinet for Health and Family Services

BY: \_\_\_\_\_

Signature of Authorized Official or Designee

Name: Eric Friedlander

Title: Executive Director

Date: \_\_\_\_\_

**Leave blank**



# Form 6: CBIS Provider Enrollment

- Mail the signed CBIS Provider Enrollment form with the Provider Agreement to:  
CCSHCN Provider Relations  
982 Eastern Parkway  
Louisville KY 40217
- **Original signatures are required.** FAX and email documents are not accepted.
- Attach any required documents: copy(s) of professional license(s), statement of findings if you check the statement indicating a violation of tax & employment statutes.
- **Any changes to the Provider Enrollment must be submitted on Form 6ADD, the addendum form, and sent to CCSHCN Provider Relations within 10 (ten) days. All communication must include your CBIS-assigned provider number.**
- **Don't forget to submit a new W-9 (an IRS form) whenever you change your name. It ensures that the correct name is linked to your tax I.D. number. This will not affect your CBIS provider number.**

